PTO/SB/17 (12-04) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/030593 TRANSMIT Filing Date 10/29/02 For FY 2005 First Named Inventor Cook, et al. **Examiner Name** Kenneth Thompson Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3672 **TOTAL AMOUNT OF PAYMENT** (\$) 180.00 Attorney Docket No. 25791.25.08 METHOD OF PAYMENT (check all that apply) Check Credit Card L Money Order None Other (please identify): Deposit Account Name: Haynes and Boone, LLP Deposit Account Deposit Account Number: 08-1394 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 **Total Claims** Fee Paid (\$) Multiple Dependent Claims **Extra Claims** Fee (\$) Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets Extra Sheets** Number of each additional 50 or fraction thereof Fee Paid (\$) - 100 = / 50 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Information Disclosure Statement Fee \$180.00

| SUBMITTED BY | | | | |
|------------------|----------------|---|---|------------------------|
| Signature | JAMINA | 1 | Registration No. 40298 (Attorney/Agent) | Telephone 713-547-2301 |
| Name (Print/Type | Todd Mattingly | 1 | | Date April 6, 2006 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney/Docket No. 25791.25.08 Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Cook, et al.

Appl. No. 10/030,593

Filed: January 8, 2002

For: TWO-STEP RADIAL EXPANSION

Attorney Docket No.: 25791.10.04

Group Art Unit: 3672

Examiner: Kenneth L. Thompson

INFORMATION DISCLOSURE STATEMENT

Mail Stop Non-Fee Amendment **Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

Pursuant to 37 CFR 1.98 (a)(2)(i), copies of the U.S. patent documents listed on the enclosed modified Form PTO-1449 are not attached.

The \$180.00 fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) is enclosed with another Information Disclosure Statement filed simultaneously with this submission. The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered. 04/10/2006 WASFAW1 00000064 081394 10030593

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fully submitted.

Registration No. 40298

Date: <u>April 6, 2006</u>

HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789 Telephone: 713-547-2301

Facsimile: 214-200-0853

25791.25.08 Box &

H-603760 1.DOC

EXPRESS MAIL NO.:

DATE OF DEPOSIT: April 6, 2006

This paper and fee are being deposited with the U.S. Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Stacy Lanier

Name of person mailing paper and fee

Signature of person mailing paper and fee

APR 0 6 2006 In place of U. S. DEPARTMENT OF COMMERCE PTO 1449 Form PATENT AND TRADEMARK OFFICE U. S. DEPARTMENT OF COMMERCE PADO TRADO Complete if Known Application Number 10/030593 Filing Date 1/8/02 **INFORMATION DISCLOSURE** Applicant(s) STATEMENT BY APPLICANT Cook, et al. Art Unit (use as many sheets as necessary) 3672 Examiner Name Kenneth L. Thompson SHEET OF 1 Attorney Docket Number 25791.25.08

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> STATEMENT BY APPLICANT (use as many sheets as necessary)

| | Complete if Known | |
|------------------------|---------------------|--|
| Application Number | 10/030593 | |
| Filing Date | 1/8/02 | |
| Applicant(s) | Cook, et al. | |
| Art Unit | 3672 | |
| Examiner Name | Kenneth L. Thompson | |
| Attorney Docket Number | 25791.25.08 | |

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| Application Number | 10/030593 |
| Filing Date | 1/8/02 |
| Applicant(s) | Cook, et al. |
| Art Unit | 3672 |
| Examiner Name | Kenneth L. Thompson |
| Attorney Docket Number | 25791.25.08 |

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| Application Number | 10/030593 | |
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| Applicant(s) | Cook, et al. | |
| Art Unit | 3672 | |
| Examiner Name | Kenneth L. Thompson | |
| Attorney Docket Number | 25791.25.08 | |

| | - · · · · | OTHER PRIOR ART - ARTICLES |
|---------------------|-----------|--|
| Examiner's Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume- issue number(s), publisher, city/country where published |
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| Application Number | 10/030593 | |
| Filing Date | 1/8/02 | |
| Applicant(s) | Cook, et al. | |
| Art Unit | 3672 | |
| Examiner Name | Kenneth L. Thompson | |
| Attorney Docket Number | 25791.25.08 | |

| | | OTHER PRIOR ART - PCT INTERNATIONAL PRELIMINARY EXAMINATION REPORTS |
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| Examiner's Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city/country where published |
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|------------------------|---------------------|--|
| Application Number | 10/030593 | |
| Filing Date | 1/8/02 | |
| Applicant(s) | Cook, et al. | |
| Art Unit | 3672 | |
| Examiner Name | Kenneth L. Thompson | |
| Attorney Docket Number | 25791.25.08 | |

| | OTHER PRIOR ART - PCT INTERNATIONAL PRELIMINARY REPORTS ON PATENTABILITY | | |
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| Examiner's Initials | | | |
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| Applicant(s) | Cook, et al. | | |
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| Examiner Name | Kenneth L. Thompson | | |
| Attorney Docket Number | 25791.25.08 | | |

| | OTHER PRIOR ART -PCT WRITTEN OPINIONS | | |
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| Examiner's | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume- | |
| Initials | | issue number(s), publisher, city/country where published | |
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| Examiner | Date Considered | |
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U. S. DEPARTMENT OF COMMERCE In place of PTO-1449 Form PATENT AND TRADEMARK OFFICE Complete if Known Application Number 10/030593 Filing Date **INFORMATION DISCLOSURE** 1/8/02 Applicant(s) STATEMENT BY APPLICANT Cook, et al. (use as many sheets as necessary) Art Unit 3672 Examiner Name Kenneth L. Thompson SHEET 1 OF 1 Attorney Docket Number 25791.25.08

| | 0 | THER PRIOR ART -PCT COMBINATION SEARCH REPORTS AND WRITTEN OPINIONS |
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| Examiner's Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city/country where published |
| | | Combined Search Report and Written Opinion to Application No. PCT/US04/10762, September 1, 2005 (Atty. Docket No. 25791.273.02) |
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| Filing Date | 1/8/02 |
| Applicant(s) | Cook, et al. |
| Art Unit | 3672 |
| Examiner Name | Kenneth L. Thompson |
| Attorney Docket Number | 25791.25.08 |

| | OTHER PRIOR ART – GREAT BRITAIN EXAMINATION REPORTS | | |
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| Examiner's | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume- | |
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 Application Number
 10/030593

 Filing Date
 1/8/02

 Applicant(s)
 Cook, et al.

 Art Unit
 3672

 Examiner Name
 Kenneth L. Thompson

 Attorney Docket Number
 25791.25.08

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| Application Number | 10/030593 |
| Filing Date | 1/8/02 |
| Applicant(s) | Cook, et al. |
| Art Unit | 3672 |
| Examiner Name | Kenneth L. Thompson |
| Attorney Docket Number | 25791.25.08 |

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| Applicant(s) | Cook, et al. | |
| Art Unit | 3672 | |
| Examiner Name | Kenneth L. Thompson | |
| Attorney Docket Number | 25791.25.08 | |

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| Art Unit | 3672 |
| Examiner Name | Kenneth L. Thompson |
| Attorney Docket Number | 25791.25.08 |

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Attorney/Docket No. 25791.25.08 Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cook, et al.

Appl. No. 10/030,593

Filed: January 8, 2002

For: TWO-STEP RADIAL EXPANSION

Attorney Docket No.: 25791.10.04

8888 Group Art Unit: 3672

Examiner: Kenneth L. Thompson

INFORMATION DISCLOSURE STATEMENT

Mail Stop Non-Fee Amendment **Commissioner For Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

Pursuant to 37 CFR 1.98 (a)(2)(i), copies of the U.S. patent documents listed on the enclosed modified Form PTO-1449 are not attached.

Accompanying this transmittal is the \$180.00 fee set forth in 37 CFR \$1.17(p) for submission of an Information Disclosure Statement under §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered. 04/10/2006 WASFAWI 00000065 081394 10030593

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Respectfully submitted,

Todd Mattingly

Registration No. 40298

Date: __April 6, 2006 HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789 Telephone: 713-547-2301

Facsimile: 214-200-0853

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DATE OF DEPOSIT: April 6, 2006

This paper and fee are being deposited with the U.S. Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria,

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1/8/02

Cook, et al.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| (use as many sheets as necessary) | | | ary) | Art Unit | 3672 |
|-----------------------------------|---|----|------|------------------------|---------------------|
| | | | | Examiner Name | Kenneth L. Thompson |
| SHEET | 1 | OF | 2 | Attorney Docket Number | 25791.25.08 |

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